

U.S. DISTRICT COURT
N.D. OF N.Y.Form
FILED
1040X
FEB 03 2014
(Rev. December 2013)

Department of the Treasury—Internal Revenue Service

Amended U.S. Individual Income Tax Return

OMB No. 1545-0074

Information about Form 1040X and its separate instructions is at www.irs.gov/form1040x.This return is for calendar year ☐ 2013 ☐ 2012 ☐ 2011 ☐ 2010Enter one: calendar year **2007** or fiscal year (month and year ended):

Your first name and initial

GLENN R

Last name

UNGER

Your social security number

If a joint return, spouse's first name and initial

Last name

Spouse's social security number

Home address (number and street). If you have a P.O. box, see instructions.

c/o PO Box 1774

Apt. no.

Your phone number

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).

West Chester Pennsylvania 19380

Foreign country name

Foreign province/state/county

Foreign postal code

Amended return filing status. You must check one box even if you are not changing your filing status.**Caution.** In general, you cannot change your filing status from joint to separate returns after the due date.☒ Single☐ Married filing jointly☐ Married filing separately☐ Qualifying widow(er)☐ Head of household (If the qualifying person is a child, see instructions.)

Use Part III on the back to explain any changes

PHILADELPHIA PA 19106

Income and Deductions

- 1 Adjusted gross income. If net operating loss carryback is included, check here ☐
- 2 Itemized deductions or standard deduction
- 3 Subtract line 2 from line 1
- 4 Exemptions. If changing, complete Part I on page 2 and enter the amount from line 28
- 5 Taxable income. Subtract line 4 from line 3

A. Original amount
or as previously
adjusted
(see instructions)B. Net change—
amount of increase
or (decrease)—
explain in Part IIIC. Correct
amount

1	35,266,997.92	35,266,997.92	0
2			
3			
4			
5	35,266,997.92	35,266,997.92	0

Tax Liability

- 6 Tax. Enter method used to figure tax (see instructions):
- 7 Credits. If general business credit carryback is included, check here ☐
- 8 Subtract line 7 from line 6. If the result is zero or less, enter -0-
- 9 Other taxes
- 10 Total tax. Add lines 8 and 9

6			
7			
8			
9			
10	0	0	0

Payments

- 11 Federal income tax withheld and excess social security and tier 1 RRTA tax withheld (if changing, see instructions)
- 12 Estimated tax payments, including amount applied from prior year's return
- 13 Earned income credit (EIC)
- 14 Refundable credits from Schedule(s) ☐ 8812 or ☐ M or Form(s) ☐ 2439
☐ 4136 ☐ 5405 ☐ 8801 ☐ 8812 (2010 or 2011) ☐ 8839 ☐ 8863
☐ 8885 or ☐ other (specify):
- 15 Total amount paid with request for extension of time to file, tax paid with original return, and additional tax paid after return was filed
- 16 Total payments. Add lines 11 through 15

11			
12			
13			
14			
15			0
16			0

Refund or Amount You Owe (Note. Allow 8–12 weeks to process Form 1040X.)

- 17 Overpayment, if any, as shown on original return or as previously adjusted by the IRS
- 18 Subtract line 17 from line 16 (if less than zero, see instructions)
- 19 Amount you owe. If line 10, column C, is more than line 18, enter the difference
- 20 If line 10, column C, is less than line 18, enter the difference. This is the amount overpaid on this return
- 21 Amount of line 20 you want refunded to you
- 22 Amount of line 20 you want applied to your (enter year):

17	0
18	0
19	0
20	0
21	0
22	0

estimated tax **22**

Complete and sign this form on Page 2.

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11360L

Form **1040X** (Rev. 12-2013)

Form 1040X (Rev. 12-2013)

Page **2****Part I Exemptions**

Complete this part **only** if you are increasing or decreasing the number of exemptions (personal and dependents) claimed on line 6d of the return you are amending.

See Form 1040 or Form 1040A instructions and Form 1040X instructions.

		A. Original number of exemptions or amount reported or as previously adjusted	B. Net change	C. Correct number or amount
23	Yourself and spouse. Caution. If someone can claim you as a dependent, you cannot claim an exemption for yourself	23		
24	Your dependent children who lived with you	24		
25	Your dependent children who did not live with you due to divorce or separation	25		
26	Other dependents	26		
27	Total number of exemptions. Add lines 23 through 26	27		
28	Multiply the number of exemptions claimed on line 27 by the exemption amount shown in the instructions for line 28 for the year you are amending. Enter the result here and on line 4 on page 1 of this form.	28		
29	List ALL dependents (children and others) claimed on this amended return. If more than 4 dependents, see instructions.			

(a) First name	Last name	(b) Dependent's social security number	(c) Dependent's relationship to you	(d) Check box if qualifying child for child tax credit (see instructions)
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

Part II Presidential Election Campaign Fund

Checking below will not increase your tax or reduce your refund.

- ☐ Check here if you did not previously want \$3 to go to the fund, but now do.
- ☐ Check here if this is a joint return and your spouse did not previously want \$3 to go to the fund, but now does.

Part III Explanation of changes. In the space provided below, tell us why you are filing Form 1040X.

▶ Attach any supporting documents and new or changed forms and schedules.

This is a correction of two forms 1040 filed for calendar year 2007, in the respective amounts of: \$35,000.00 and \$266,847.92.

Sign Here

Remember to keep a copy of this form for your records.

Under penalties of perjury, I declare that I have filed an original return and that I have examined this amended return, including accompanying schedules and statements, and to the best of my knowledge and belief, this amended return is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information about which the preparer has any knowledge.

▶ By: [Signature] 20140108
Your signature authorized repres.

Date

Spouse's signature. If a joint return, **both** must sign.

Date

Paid Preparer Use Only

Preparer's signature

Date

Firm's name (or yours if self-employed)

Print/type preparer's name

Firm's address and ZIP code

PTIN

☐ Check if self-employed

Phone number

EIN

For forms and publications, visit IRS.gov.

Form **1040X** (Rev. 12-2013)

Do Not Staple

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Form 1096 Department of the Treasury Internal Revenue Service		Annual Summary and Transmittal of U.S. Information Returns				OMB No. 1545-0108 <div style="font-size: 2em; font-weight: bold;">2007</div>							
FILER'S name <div style="font-size: 1.2em; font-weight: bold;">GLENN R UNGER</div> Street address (including room or suite number) <div style="font-size: 1.2em;">c/o PO Box 1774</div> City, state, and ZIP code <div style="font-size: 1.2em;">West Chester Pennsylvania 19380</div>													
Name of person to contact <div style="font-size: 1.2em; font-weight: bold;">GLENN R UNGER</div>				Telephone number ()									
Email address				Fax number ()									
1 Employer identification number		2 Social security number		3 Total number of forms <div style="font-size: 1.2em; text-align: center;">1</div>		4 Federal income tax withheld <div style="font-size: 1.2em; text-align: center;">\$ 0</div>							
5 Total amount reported with this Form 1096 <div style="font-size: 1.2em; text-align: center;">\$ 0</div>						For Official Use Only <div style="border: 2px solid black; width: 100px; height: 40px; margin: 5px auto;"></div>							
Enter an "X" in only one box below to indicate the type of form being filed.													
W-2G 32 <input type="checkbox"/>	1098 81 <input type="checkbox"/>	1098-C 78 <input type="checkbox"/>	1098-E 84 <input type="checkbox"/>	1098-T 83 <input type="checkbox"/>	1099-A 80 <input type="checkbox"/>	1099-B 79 <input type="checkbox"/>	1099-C 85 <input type="checkbox"/>	1099-CAP 73 <input type="checkbox"/>	1099-DIV 91 <input type="checkbox"/>	1099-G 86 <input type="checkbox"/>	1099-H 71 <input type="checkbox"/>	1099-INT 92 <input type="checkbox"/>	1099-LTC 93 <input type="checkbox"/>
1099-MISC 95 <input type="checkbox"/>	1099-OID 96 <input checked="" type="checkbox"/>	1099-PATR 97 <input type="checkbox"/>	1099-Q 31 <input type="checkbox"/>	1099-R 98 <input type="checkbox"/>	1099-S 75 <input type="checkbox"/>	1099-SA 94 <input type="checkbox"/>	5498 28 <input type="checkbox"/>	5498-ESA 72 <input type="checkbox"/>	5498-SA 27 <input type="checkbox"/>				

Return this entire page to the Internal Revenue Service. Photocopies are not acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ▶ By: *Glenn R Unger* Title ▶ **authorized representative** Date ▶ **01/08/2014**

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. Do not use Form 1096 to transmit electronically or magnetically. For magnetic media, see Form 4804, Transmittal of Information Returns Reported Magnetically; for electronic submissions, see Pub. 1220, Specifications for Filing Forms 1098, 1099, 5498, and W-2G Electronically or Magnetically.

Who must file. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Forms 1099, 1098, 5498, or W-2G. A filer includes a payer; a recipient of mortgage interest payments (including points) or student loan interest; an educational institution; a broker; a barter exchange; a creditor; a person reporting real estate transactions; a trustee or issuer of any individual retirement arrangement, a Coverdell ESA, an HSA, an Archer MSA (including a Medicare Advantage MSA); certain corporations; certain donees of motor vehicles, boats, and airplanes; and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Preadressed Form 1096. If you received a preaddressed Form 1096 from the IRS with Package 1099, use it to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. If any of the preprinted information is incorrect, make corrections on the form.

If you are not using a preaddressed form, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

When to file. File Form 1096 as follows.

- With Forms 1099, 1098, or W-2G, file by February 28, 2008.
- With Forms 5498, 5498-ESA, or 5498-SA, file by June 2, 2008.

Where To File

Except for Form 1098-C, send all information returns filed on paper with Form 1096 to the following:

If your principal business, office or agency, or legal residence in the case of an individual, is located in

Use the following Internal Revenue Service Center address

Alabama, Arizona, Arkansas, Connecticut, Delaware, Florida, Georgia, Kentucky, Louisiana, Maine, Massachusetts, Mississippi, New Hampshire, New Jersey, New Mexico, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, Texas, Vermont, Virginia, West Virginia

Austin, TX 73301

Alaska, California, Colorado, District of Columbia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Maryland, Michigan, Minnesota, Missouri, Montana, Nebraska, Nevada, North Dakota, Oklahoma, Oregon, South Carolina, South Dakota, Tennessee, Utah, Washington, Wisconsin, Wyoming

Kansas City, MO
64999

9696

☐ VOID ☒ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no. GLENN R UNGER c/o 629 Plank Road Clifton Park New York 12065		1 Original issue discount for 2007 \$ 0	OMB No. 1545-0117 2007	Original Issue Discount
		2 Other periodic interest \$ 0	Form 1099-OID	
PAYER'S federal identification number	RECIPIENT'S identification number 13-4099534	3 Early withdrawal penalty \$ 0	4 Federal income tax withheld \$ 0	Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2007 General Instructions for Forms 1099, 1098, 5498, and W-2G.
RECIPIENT'S name TIME WARNER INC.		5 Description Judgment New York Supreme Court Document 10113106		
Street address (including apt. no.) ONE TIME WARNER CENTER		6 Original issue discount on U.S. Treasury obligations \$ 0		
City, state, and ZIP code NEW YORK NY 10019		7 Investment expenses \$ 0		
Account number (see instructions) 128-32-3712	2nd TIN not <input type="checkbox"/>			

Form **1099-OID**

Cat. No. 14421R

Department of the Treasury - Internal Revenue Service

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U.S. POSTAGE
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AMOUNT

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DIAMOND SETTLEMENT TRUST

c/o Administrator Michael Norley, Trustee

P.O. Box 1774 West Chester, Pennsylvania 19380

Lawrence K. Baerman

Clerk of Court

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF NEW YORK

445 Broadway

Albany, New York 12207

U.S. DISTRICT COURT

N.D. OF N.Y.

RECEIVED

FEB 03 2014

LAWRENCE K. BAERMAN, CLERK
ALBANY

RETURN REQUESTED
RECEIPT